



Part 1: Information of Securities Holder

Name of Securities Holder _____

(In case of juristic person, please specify the name of juristic person)

Contact Information

Mobile phone number _____

Email Address _____

Part 2.1: Document Delivery

Document delivery

Via Email _____

Via postal mail (Specify only the services in part 2.2.1 and 2.2.3)

Per address in national ID card (for individual) or certificate of registration or establishment documents (for juristic person)

Other (Please specify) Contact Address _____ No _____ Building _____

Floor _____ Room _____ Mu _____ Village _____ Alley _____

Road _____ Sub-district _____ District _____

Province _____ Postal code _____

Via Receiving by hand at branch (Specify only the services in part 2.2.2)

Part 2.2.1: Service Information for KAsset fund account

I hereby wish to request that KASIKORNBANK PCL (“KBank”) take the following actions. (Please fill out the form and attach documents as specified in the table of required documents.)

Service Request (More than one type of document can be chosen.)

1. Issuance of certification for RMF/LTF/SSF/SSF Extra/ Thai ESG (Please provide details in the table below.)

1.1 Subscription (Specify year of transaction); 1.2 Redemption (Specify date of transaction for each fund); 1.3 Switching (Specify date of transaction for each fund)

| Transaction (Specify 1.1 - 1.3) | Name /Type of Fund | Fund Account Number | Date/Year of Transaction |
|------------------------------------|--------------------|---------------------|--------------------------|
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| | | | |
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2. Issuance of certification for withholding tax on dividend of mutual fund (Please provide details in the table below.)

| Name of Fund | Fund Account Number | Date/Year of Dividend Payment |
|--------------|---------------------|-------------------------------|
| | | |
| | | |
| | | |

3. Issuance of statement for transaction history (subscription/redemption of investment units): Statement showing entries of more than one year (Specify details in the table below.)

(Statement showing entries of less than one year can be requested and picked up at a branch immediately.)

| Fund Account Number | Fund Name (Please provide the name of each fund. In case where statements of all funds are requested, please specify "All Funds"). | Starting-Ending Date |
|---------------------|---|----------------------|
| | | |
| | | |
| | | |

4. Issuance of Investment Unit Confirmation Letter (Please provide details in Item 4.1 and 4.2.)

(For funds invested via KBank, request for Investment Unit Confirmation Letter can be made via K PLUS.)

4.1

| Fund Account Number | Fund Name (Please provide the name of each fund. In case where Investment Unit Confirmation Letter of all funds are requested, please specify "All Funds"). |
|---------------------|--|
| | |
| | |
| | |

4.2

| | |
|---|---|
| <input type="checkbox"/> Thai Please specify (if the title is different from that shown in your national ID card)_____ | Purposes <input type="checkbox"/> Submission to government agencies <input type="checkbox"/> Others (Please specify) _____ Data ending date _ _ / _ _ / _ _ _ _ * Number of copies _____ |
| <input type="checkbox"/> English Please specify (if the title is different from that shown in your national ID card) Title: <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other _____ Name _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ Surname _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ | Purposes <input type="checkbox"/> Application for visa <input type="checkbox"/> Child certification (Please provide the name in English) <input type="checkbox"/> Others (Please specify) _____ Data ending date _ _ / _ _ / _ _ _ _ _ _ * Title: <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other _____ Name _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ Surname _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ Currency <input type="checkbox"/> THB <input type="checkbox"/> Others (Please specify) _____ Number of copies _____ <u>Note:</u> *Unless the data ending date is specified, a certificate of the latest information available in the registry will be issued. |

Part 2.2.2: Service Information for Fund Accounts of Other Asset Management Companies

(Account opened with KBank only)

[Branch Officer issues documents to customer via K-Doc Portal system without having to open Service Request of Smart Serve system]

I hereby request that KBank take the following actions. (Please fill out the form and attach documents as specified in the table of required documents.)

Issuance of Confirmation Note (purchase/redemption/switch/transfer) / Receipt

Fund account number

Service Request (More than one type of document can be selected.)

Confirmation Note of Investment Unit Subscription/Redemption/Switching/Transfer/Receipt

Starting date / / Ending date / /

Note: Starting date and ending date must be specified for Price as of (NAV date) reference.

Confirmation of Withholding Tax

Starting date / / Ending date / /

Note: Starting date and ending date must be specified for dividend payment reference.

Part 2.2.3: Service Information for Debentures

I hereby request that KBank take the following action (Please fill out the form and attach documents as specified in the table of required documents.)

Issuance of Substitute of Withholding Tax Certificate (Section 50 Bis)

Debenture Name (Full Name/Abbreviation) _____ Interest Payment Date / /

Debenture Name (Full Name/Abbreviation) _____ Interest Payment Date / /

Debenture Name (Full Name/Abbreviation) _____ Interest Payment Date / /

I hereby agree and give consent to the Bank to collect and use any of my personal data and/or information for the purpose of (i) providing services, (ii) fulfilling my request before providing the services, (iii) assigning third parties to support the services, such as information technology, communications and collection, (iv) assignment of rights and/or obligations and/or (v) solving complaints. Moreover, I further agree and give consent to the Bank to disclose said information, whether in or outside the country, to the securities issuer, asset management company, securities registrar, debenture holder's representative, investment unit selling agents, outsourcing service providers, the Bank's agents, sub-contractors, co-branding alliances, prospective assignees, assignees and cloud computing service providers, and also agree and give consent to the aforementioned parties to collect, use and/or disclose said information for the same purposes. For more information, please see the Privacy Policy: www.kasikornbank.com/en/privacy-policy.

In the event that I disclose another person's personal data to the Bank for the aforementioned purposes, I hereby represent and warrant to the Bank that I have obtained consent from such person or have a legal basis to disclose such person's personal data to the Bank and have informed such person of the details of the collection, use and/or disclosure of personal data pursuant to the aforementioned Privacy Policy.



Signed _____ Securities Holder/Authorized Signatory

(_____) Legible handwriting

Date ____ / ____ / ____

For Bank Use

Bank officer has signed to certify that

- Identity verification of securities holder has already been undertaken.
- Accuracy and completeness of information, required documents and related evidence, as well as securities holder's signature, has been verified.
- In signing the document, the officers receiving and reviewing the document do not require a license, but they must not be the same person. Otherwise, the Bank will return the document to the relevant branch for correction.

For Branch Officer / Selling Agent

Name/Surname _____ (legible handwriting) Employee Code _____ Telephone _____

The document's completeness and accuracy as well as the securities holder's identity have been verified.

Name/Surname _____ (legible handwriting) Employee Code _____ Telephone _____

Date _____

The document's completeness and accuracy have been verified.

Note: Application submission must be made via Smart Serve system only. For any inquiries, please call 02-4701976.

Required Documents

Note: Copies of all documents, including the required documents for each service, must be signed between parallel lines to certify that they are true copies.

- **For individuals:** Copy of national ID card (front side only) or copy of alien registration card or passport (foreigners) (documents must remain valid as of transaction date)
- **For juristic persons:** Copy of certificate of registration or establishment documents (issued not more than three months prior to submission) or juristic person certificate issued by government agencies and identity documents of authorized signatory
 - Copy of national ID card (front side only) or a copy of alien registration card or passport (foreigners) of authorized signatory (documents must remain valid as of transaction date)
 - In case of authorization: Power of attorney and copy of national ID card (front side only) or copy of alien registration card or passport (foreigners) of grantor and grantee (documents must remain valid as of transaction date)